

Meeting	Police and Crime Panel
Date	19 th March 2020
Report Title	PCC Risk Register
Presented by:	Angus Macpherson, Police and Crime Commissioner
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1.0 Purpose of Report

1.1 To update the Police and Crime Panel on the PCC Risk Register.

2.0 Introduction and Background Information

2.1 The risk register is aligned to the lifespan of the Police and Crime Plan (2017-2021) and has been reviewed and updated as at 20th February 2020.

2.2 All risks are split into two categories – they are either inherent risks and will remain on the register regardless of score, or topical risks which will be removed when they have a score of 10 or under. This will assist in ensuring focus remains on current risks and what additional mitigations or plans are needed to reduce the score and thus removed the risk from the register.

2.3 The register is a dynamic document and is intended to capture live management of risk and mitigation, rather than being a record of all possible risks.

2.4 The register is reviewed monthly on an informal basis by the Deputy Chief Executive and formally by the Commissioner’s Monitoring Board on a quarterly basis, prior to meetings of the Joint Independent Audit Committee and the Police and Crime Panel. It is now also considered at OPCC Executive Leadership Team meetings on a monthly basis. The Commissioning and Policy Officer has responsibility for the day-to-day management of the register.

2.5 Owners for each risk are identified and they are responsible for providing updates on mitigation and score.

2.6 The risk appetite remains at 30.

2.7 The PCC Risk Register is attached at Appendix A.

2.8 Attached at Appendix B is a glossary setting out the meaning of the acronyms used and the scoring brackets for identifying risks as high, moderate, acceptable or minor.

3.0 Key Risks to Consider – Inherent

3.1 The register identifies eleven inherent risks; eight are considered as either minor or acceptable, two are considered moderate and one is considered major.

3.2 Details on the risk identified as major are:

- *Risk I8: ICT services are not resilient and transformational to support effective and efficient policing*

Members will be aware of the decision by both Wiltshire Council and Wiltshire Police to return to individual provision of ICT services. The Chief Finance Officer and senior council officials are overseeing the return of this function and a Head of ICT for Wiltshire Police has been in place since November 2019. A more detailed report on the transfer of ICT services is subject to a separate agenda item.

3.3 Details on the two risks considered moderate are:

- *Risk I6: Partners make decisions that impact upon the PCC and Police without discussion or involvement in decision making process*

There has been no formal notification from partners as to if, where, and how they will be required to make savings either in this or future financial years. The OPCC continues to build relationships with the two local authorities, and public, private and third sector organisations sharing strategies and its commissioning plans.

- *Risk I9: Police collaborative arrangements do not deliver anticipated benefits due to weak governance and accountability and / or the impacts outweigh business benefits*

Scoring is maintained at 18 and the risk continues to be monitored, through the Tri-Force and regional collaborations operations and strategic boards.

4.0 Key Risk to Consider – Topical

4.1 The register identifies five topical risks, three are considered moderate and two are to be removed.

4.2 Details on the three existing risks considered moderate are:

- *Risk T1: Review of funding formula does not provide additional resources or reduces resources to Wiltshire*

It is anticipated that the funding formula review will be undertaken as part of the Comprehensive Spending Review in the Autumn. The score for this risk is maintained at 24 whilst further information is awaited.

- *Risk T3: Delayed implementation of new communication system as a result of national change-over from Airwave Tetra to new 4G based ESN*

The scoring of this risk is maintained at 24. The Wiltshire Chief Constable is the national NPCC lead for this programme and the risk score reflects the reputational risk to the PCC and Wiltshire Police being more closely linked to the programme. New national guidance and a full business case is still awaited.

- *Risk T6: Unable to continue to meet the demands of frontline policing*

A review of CPT is being undertaken and substantial work has been delivered to address concerns. Panel received an update on the improvements aimed to improve the neighbourhood element of CPT. . This has been supported by the increased number of officers and staff as part of the precept increase. The PCC

is updated by the Chief Constable of these improvements bi-weekly, and monitored by the CPT resource paper.

5.0 Removal of Risks

5.1 The following two risks have been identified as suitable for removal from the register:

- *Risk T8: Failure to comply with General Data Protection Regulations (GDPR)*
This risk was identified when new regulations were due to come into place in 2018. Since this time a number of mitigations and controls have been identified and worked towards reducing this risk. GDPR has now been implemented and is considered business as usual. The informal audit undertaken in November did not identify any major issues. It is recognised that there will always be a risk that the OPCC will fail to comply with GDPR but this will be managed through Risk I10 (OPCC fails to meet its legal requirements as set out in various legislation including but not limited to Police Reform and Social Responsibility Act, Specified Information Order, Equalities Act 2010).
- *Risk T10: Impact of Brexit on Wiltshire*
Brexit took place on 31st January 2020. Arrangements going forward following the transition period are being reviewed and any risks identified as a result of this will be included on future editions of the risk register.

6.0 Future Reviews of Risk Register

6.1 This report and version of the Risk Register will also be considered at the Joint Independent Audit Committee meeting scheduled for 25th March 2020.

7.0 Staffing Implications

7.1 Any staffing implications are set out in the associated risk(s).

8.0 Financial Implications

8.1 Any financial implications are set out in the associated risk(s).

9.0 Legal Implications

9.1 Any legal implications are set out in the associated risk(s).

10.0 Sustainability

10.1 Any sustainability issues are set out in the associated risk(s).

11.0 Diversity Issues

11.1 Equality and diversity issues are reflected in the respective elements of the risk register.

12.0 Contribution to the Police and Crime Plan 2017-2021

12.1 The risk register is aligned to the Police and Crime Plan and identifies risks associated with not delivering the Plan and the priorities set out within it.

13.0 Recommendations

13.1 The Police and Crime Panel is invited to note the content of the report and the risk register.

Appendices:

Appendix A – PCC Risk Register

Appendix B – Glossary

ACRONYM	MEANING
ACCs	Assistant Chief Constables
APAC ² E	Association of Police and Crime Commissioners Chief Executives
APCC	Association of Police and Crime Commissioners
BUSS	Best Use of Stop and Search
CC	Chief Constable
CFO	Chief Finance Officer
CJB	Criminal Justice Board
CJS	Criminal Justice System
CMB	Commissioner's Monitoring Board
CPS	Crown Prosecution Service
CPT	Community Policing Team
CSPs	Community Safety Partnerships
D&C	Devon and Cornwall
DA	Domestic Abuse
DCC	Deputy Chief Constable
ESN	Emergency Services Network
FME	Forensic Medical Examiner
FMS	Force Management Statement
GCHQ	Government Communications Headquarters
GDPR	General Data Protection Regulations
HMICFRS	Her Majesty's Inspectorate of Constabulary and Fire & Rescue Service
HO	Home Office
HR	Human Resources
ICT	Information Communication Technology
JIAC	Joint Independent Audit Committee
LA / LAs	Local Authority / Local Authority's
MPs	Members of Parliament
MoJ	Ministry of Justice
MTFS	Medium Term Financial Strategy
NAO	National Audit Office
NPCC	National Police Chiefs Council
Op Fairline	First Salisbury incident
OP Fortis	Second Salisbury incident
OPCC	Office of Police and Crime Commissioner
P&C Plan	Police and Crime Plan
PACCTS	Police and Crime Commissioners Treasurer Society
Panel	Police and Crime Panel
PCC	Police and Crime Commissioner
PCP	Police and Crime Panel
PCSOs	Police Community Support Officers
PEEL	Police Effectiveness, Efficiency and Legitimacy Programme
RJ	Restorative Justice
S&S	Stop and Search
SA	Sexual Abuse
SARC	Sexual Assault Referral Centre
SD&T	Service, Delivery and Transformation
ACRONYM	MEANING

SW	South West
TOIL	Time Off In Lieu
WC	Wiltshire Council
WCJB	Wiltshire Criminal Justice Board
YOTs	Youth Offending Teams

RISK KEY

risk score 30+ Major
risk score 18-29 Moderate
risk score 8-17 Acceptable
risk score 1-7 Minor